

EXPONENT INVESTMENT MANAGEMENT INC.  
TRANSACTIONAL FEE SCHEDULE



Transaction Type	Commission	Minimum Commission
Canadian Equities	\$0.02 cents per share	\$15.00/account allocation
US Equities	\$0.02 cents per share	\$15.00/account allocation
Private Securities – non listed private equities	\$50.00/account allocation	\$50.00/account allocation
Fixed Income	Institutional spreads	Institutional spreads
Exchange Traded Debentures	\$30.00 + \$1.00 per thousand face value	\$30.00 + \$1.00 per thousand face value
Canadian Options	\$1.25 per contract	\$10.00/account allocation
US Options	\$1.25 per contract	\$10.00/account allocation
Mutual/Pooled Funds/F Class (FundServ)	\$15.00/trade/account	\$15.00/trade/account
Mutual/Pooled Funds/F Class (not on FundServ-Manual Funds)	\$50.00/trade/account	\$50.00/trade/account
International Equities	Varies by market	Varies by market
FX Transactions	Institutional Spreads (negotiated)	USD/CAD-20 Bps on each side for transaction amounts over US\$50,000.00.

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Description	Fee
Certificate Withdrawal	\$50.00 + cost/security
Rush Certificate Withdrawal	\$250.00 + cost/security
Rush Certificate Deposit	\$250.00 + cost/security
Certificate Deposit	\$50.00/security
Certificate Special courier	Minimum \$25.00
Certificate Special courier (US)	Minimum \$30.00
Certificate Special courier (International)	TBD
Certificate Registered mail	\$6.00
Certificate Safekeeping (excluding PSBs, CSBs, & CPBs)	\$50/month
Wire transfer (Canadian funds)	\$30.00
Electronic fund transfer	\$0.00
Wire transfer (US funds)	\$30.00
Wire transfer (Foreign currencies)	\$40.00
Stop Payment	\$25
NSF Cheque/Returned funds	\$40.00
DAP/RAP (trading away from Credential)	\$30.00/account
Settlements other than DTC/CDS (foreign)	\$50.00/account
CCPC Holdings/Private Placements	\$250.00
RIF unscheduled withdrawal by cheque	\$50.00

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Description	Fee
RRSP Full deregistration	\$75.00
RRSP Partial deregistration	\$50.00
RSP/RIF swap	\$50.00
RRSP Estate transfer fee	\$150.00
Swap of small business security to RRSP	\$25.00
Mortgages	\$250.00 set up fee and \$250.00 annual fee thereafter
Full transfer out of account	\$125.00
Partial transfer out of account	\$75.00

*The fees do not include applicable taxes including without limitation GST, PST and/or HST as applicable.*

**Interest Rates:**

Minimum amount of credit interest paid per month is \$3.00 on registered & non-Registered accounts (i.e. any balances in the Managed Accounts not generating minimum interest of \$3.00 per month will not be paid interest in the relevant calendar month).

All Managed Accounts having a debit balance will be charged interest in accordance with the rates set forth below.

The following rates will be used to calculate interest payable to/by Managed Accounts:

Cdn Credits	Cdn Debits	US Credit	US Debit
Prime – 2.50	Prime + 1.00 for margin accounts; 12% for cash and registered accounts	Prime – 4.00	Prime + 1.00 for margin accounts; 12% for cash and registered accounts