

Portfolio Statement

FOR: EIM PARTNERS FUND (██████)

As at September 30, 2021

Advisor: Exponent Investment Management

Currency: CAD

Created on October 8, 2021

Exchange rate: 1.00 USD = 1.27534 CAD

% of Total	Position	Quantity	Average Cost	Book Value	Close Price	Market Value	Curr. Yield At Last Clos.	Estim. Annual Income
11.5%	RESERVE			2,680,348.80		2,680,348.80		
11.5%	Cash Balance			2,680,348.80		2,680,348.80		
88.9%	GROWTH			21,201,899.42		20,764,714.40	2.92%	605,339.02
29.7%	Canadian			6,769,355.79		6,942,187.50	2.56%	177,785.93
2.8%	ALGONQUIN PWR& UTILITIES	35,115	18.7079	656,929.53	18.57	652,085.55	4.69%	30,560.31
2.3%	ALIMENT COUCHE-TARD-B SV	11,300	42.5838	481,197.05	48.46	547,598.00	0.72%	3,955.00
2.6%	ATCO LTD CL-I NV	15,000	41.7743	626,613.76	40.63	609,450.00	4.41%	26,898.00
1.4%	BANK OF NOVA SCOTIA	4,035	77.1956	311,484.30	77.96	314,568.60	4.62%	14,526.00
2.3%	CANADIAN NATIONAL RAILWAY	3,720	129.9766	483,513.10	146.78	546,021.60	1.68%	9,151.20
0.3%	CDN NATURAL RESOURCES LTD	1,600	41.7914	66,866.24	46.31	74,096.00	4.06%	3,008.00
3.3%	CENOVUS ENERGY INC	60,240	10.249	617,401.50	12.77	769,264.80	0.55%	4,216.80
0.9%	DOLLARAMA INC	4,000	53.4336	213,734.52	54.94	219,760.00	0.37%	804.80
1.7%	ENBRIDGE INC	7,650	48.5531	371,431.51	50.46	386,019.00	6.62%	25,551.00
2.2%	FRANCO-NEVADA CORP	3,115	181.1597	564,312.37	164.55	512,573.25	0.93%	4,767.22
1.4%	KEYERA CORP	10,000	31.1825	311,824.82	31.87	318,700.00	6.02%	19,200.00
2.3%	MAPLE LEAF FOODS INC	20,785	26.6076	553,037.96	25.74	535,005.90	2.80%	14,965.20
2.7%	SHAWCOR LTD	110,105	5.953	655,457.08	5.66	623,194.30		
2.3%	STELLA JONES INC	12,670	44.7267	566,687.04	42.65	540,375.50	1.69%	9,122.40
1.3%	TORONTO DOMINION BANK	3,500	82.5329	288,865.01	83.85	293,475.00	3.77%	11,060.00
51.2%	American			12,503,232.26		11,957,371.41	2.58%	308,063.71
2.3%	3M COMPANY	2,425	USD 192.1561	587,207.82	USD 175.42	542,521.35	3.37%	18,308.78
2.1%	ACTIVISION BLIZZARD INC	5,045	USD 83.2277	527,868.90	USD 77.39	497,934.25	0.61%	3,024.02
2.2%	ALASKA AIR GROUP INC	6,900	USD 57.7572	499,576.76	USD 58.60	515,670.98		
4.0%	AT & T INC	26,890	USD 29.6492	980,794.12	USD 27.01	926,278.04	7.70%	71,331.30
4.1%	BORG WARNER INC	17,245	USD 44.6605	970,234.79	USD 43.21	950,327.83	1.57%	14,955.40
2.3%	CHURCH & DWIGHT CO INC	5,200	USD 83.1426	547,861.15	USD 82.57	547,585.08	1.22%	6,698.09
3.3%	CORNING INC	16,765	USD 40.1398	849,222.43	USD 36.49	780,195.43	2.63%	20,525.83

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2.7%	DISNEY WALT COMPANY	2,915	USD 178.5446	654,972.78	USD 169.17	628,909.12		
3.9%	GENERAL MILLS INC	12,030	USD 58.6137	886,376.48	USD 59.82	917,778.79	3.41%	31,298.37
3.3%	HAWAIIAN ELECTRIC IND	15,000	USD 41.8641	794,049.64	USD 40.83	781,081.98	3.33%	26,016.94
4.4%	INTEL CORPORATION	15,035	USD 56.0816	1,048,992.53	USD 53.28	1,021,629.98	2.61%	26,652.87
1.6%	J.M. SMUCKER CO	2,500	USD 123.3274	388,996.08	USD 120.03	382,697.65	3.30%	12,625.87
2.7%	LOGITECH INTL SA CHF 0.25	5,700	USD 104.7442	755,021.09	USD 88.15	640,800.96	0.78%	4,985.04
2.1%	PETMED EXPRESS INC	14,000	USD 29.2953	514,468.06	USD 26.87	479,757.40	4.47%	21,425.71
2.7%	SCOTTS MIRACLE-GRO CO	3,410	USD 163.7509	707,647.06	USD 146.36	636,506.38	1.80%	11,481.12
2.2%	V F CORP	6,000	USD 74.8073	567,230.11	USD 66.99	512,610.16	2.93%	14,998.00
2.7%	VIATRIS INC	36,525	USD 14.5962	658,974.34	USD 13.55	631,183.30	3.25%	20,495.99
2.4%	VISA INC CLASS-A	1,985	USD 222.9962	563,738.12	USD 222.75	563,902.74	0.57%	3,240.38
8.0%	Ex- North America			1,929,311.37		1,865,155.48	6.41%	119,489.38
3.7%	ANHEUSER-BUSCH INBEV ADR	12,030	USD 70.8082	990,048.21	USD 56.38	865,001.14	3.24%	28,018.79
1.1%	GLAXO SMITHKLINE-PLC-ADR	5,045	USD 38.6734	236,104.94	USD 38.21	245,846.59	16.65%	40,927.24
3.2%	TOTALENERGIES SE ADR	12,340	USD 46.5305	703,158.22	USD 47.93	754,307.75	6.70%	50,543.36
-0.3%	COVERED OPTIONS			-58,665.38		-76,126.80		
-0.1%	CALL 100 ALK 10/15/21 60	-69	USD 0.8375	-7,393.41	USD 1.25	-10,999.81		
0.0%	CALL 100 BWA 10/15/21 47.5	-70	USD 0.4475	-3,952.16	USD 0.10	-892.74		
0.0%	CALL 100 CNR 10/15/21 160	-38	0.2067	-785.50	0.125	-475.00		
0.0%	CALL 100 KEY 10/15/21 33	-100	0.1375	-1,375.00	0.145	-1,450.00		
0.0%	PUT 100 ACO 10/15/21 40	-76	0.2875	-2,185.00	0.20	-1,520.00		
0.0%	PUT 100 ATVI 10/15/21 70	-50	USD 1.1775	-7,532.55	USD 0.34	-2,168.08		
0.0%	PUT 100 BNS 10/15/21 74	-80	0.326	-2,608.00	0.205	-1,640.00		
0.0%	PUT 100 BUD 10/15/21 52	-40	USD 0.2775	-1,420.14	USD 0.25	-1,275.34		
0.0%	PUT 100 CHD 10/15/21 80	-30	USD 0.4175	-1,602.46	USD 0.40	-1,530.41		
0.0%	PUT 100 CNQ 10/15/21 40	-100	0.6875	-6,875.00	0.15	-1,500.00		
-0.1%	PUT 100 FNV 10/15/21 170	-17	2.8375	-4,823.75	7.10	-12,070.00		
0.0%	PUT 100 GIS 10/15/21 55	-40	USD 0.5177	-2,649.67	USD 0.10	-510.14		



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0.0%	PUT 100 GLW 10/15/21 35	-50	USD 0.2775	-1,775.18	USD 0.32	-2,040.54		
-0.1%	PUT 100 LOGI 10/15/21 90	-50	USD 1.0875	-6,956.81	USD 3.10	-19,767.77		
0.0%	PUT 100 MMM 10/15/21 180	-12	USD 1.3508	-2,045.15	USD 6.00	-9,182.45		
0.0%	PUT 100 SJM 10/15/21 115	-20	USD 0.9075	-2,322.13	USD 0.45	-1,147.81		
0.0%	PUT 100 SMG 10/15/21 130	-40	USD 0.8475	-4,337.21	USD 0.45	-2,295.61		
0.0%	PUT 100 TTE 10/15/21 40	-40	USD 0.1875	-959.57	USD 0.15	-765.20		
0.0%	PUT 100 V 10/15/21 210	-18	USD 1.4375	-3,264.56	USD 1.39	-3,190.90		
0.0%	PUT 100 VTRS 10/15/21 13	-100	USD 0.3175	-4,062.13	USD 0.15	-1,913.01		
0.0%	PUT 100 WFG 10/15/21 82	16	6.4125	10,260.00	0.13	208.00		
Totals (Including Cash Balance)				23,823,582.84		23,368,936.39	2.59%	605,339.02

Realized Gain-Loss Report

FOR: EIM PARTNERS FUND (██████████)

From September 1, 2021 to September 30, 2021

Advisor: Exponent Investment Management

Currency: CAD

Created on October 8, 2021

Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Book Value	Book Value Avg. Exch. rate	Proceeds of Disposition	Comm. on Disposition	Disposition Exch. rate	Price G/L	Currency G/L	Gain/Loss (\$)
Account: Opt USD-M (██████████)												
2021/09/10	SEL	ARCBEST CORPORAT ON	ARCB	4 000	265 566 87	1 165454	340 679 41	USD 80 00	1 26839	47 371 60	27 639 47	75 011 07
2021/09/17	EXP	CALL 100 CHD 09/17/21 85	CHD2117 85	36	2 532 16	1 26167			1 28254	2 532 16		2 532 16
2021/09/17	EXP	CALL 100 G S 09/17/21 60	G S2117 60	53	1 454 38	1 261672			1 28254	1 454 38		1 454 38
2021/09/17	EXP	CALL 100 SJM 09/17/21 130	SJM2117 130	25	591 41	1 261675			1 28254	591 41		591 41
2021/09/17	EXP	CALL 100 VFC 09/17/21 80	VFC2117 80	40	3 721 90	1 26167			1 28254	3 721 90		3 721 90
2021/09/28	SEL	SHARES 20+ YR TREAS BND	TLT	2 850	488 763 32	1 213156	530 999 90	USD 57 00	1 26758	19 368 70	22 795 62	42 164 32
2021/09/17	EXP	PUT 100 ARCB 09/17/21 60	ARCB2117U60	25	2 515 44	1 261669			1 28254	2 515 44		2 515 44
2021/09/17	EXP	PUT 100 BWA 09/17/21 40	BWA2117U40	42	2 093 92	1 254227			1 28254	2 093 92		2 093 92
2021/09/17	OAS	PUT 100 GLW 09/17/21 38 5	GLW2117U38 5	50	2 255 22	1 261669			1 28254	2 255 22		2 255 22
2021/09/17	OAS	PUT 100 LOG 09/17/21 100	LOG 2117U100	15	3 373 43	1 244239			1 28254	3 373 43		3 373 43
2021/09/17	EXP	PUT 100 MMM 09/17/21 180	MMM2117U180	10	1 878 21	1 25423			1 28254	1 878 21		1 878 21
Total for Account					733,014.12		871,679.30	173.72		87,166.37	60,436.00	137,691.46

Account: Option-L (██████████)												
2021/09/20	SEL	AGN CO EAGLE M NES LTD	AEM	5 800	453 639 98	1 26839	395 025 99	116 00	1 26839	58 729 99		58 729 99
2021/09/17	EXP	CALL 100 ATD 09/17/21 54	ATD2117 54	50	1 587 50	1 282538			1 28254	1 587 50		1 587 50
2021/09/15	OAS	CALL 100 CNQ 09/17/21 44	CNQ2117 44	84	2 751 00	1 268391			1 26839	2 751 00		2 751 00
2021/09/17	EXP	CALL 100 CNQ 09/17/21 44	CNQ2117 44	16	524 00	1 282553			1 28254	524 00		524 00
2021/09/17	EXP	CALL 100 DOL 09/17/21 64	DOL2117 64	40	1 150 00	1 282537			1 28254	1 150 00		1 150 00
2021/09/22	SEL	CANAD AN NAT ONAL RA LWAY	CNR	2 800	363 934 60	1 28254	409 764 04	56 00	1 28254	45 773 44		45 773 44
2021/09/17	SEL	CDN NATURAL RESOURCES LTD	CNQ	8 400	351 047 76	1 26839	369 600 00	168 00	1 26839	18 384 24		18 384 24
2021/09/28	SEL	SHRS CORE CDN L/T BD ETF	XLB	10 000	246 280 00	1 26758	246 360 50	200 00	1 26758	119 50		119 50
2021/09/17	EXP	PUT 100 BNS 09/17/21 70	BNS2117U70	36	855 00	1 282532			1 28254	855 00		855 00
2021/09/17	BUY	PUT 100 LUN 09/17/21 10	LUN2117U10	200	5 150 00	1 268389	20 670 00	250 00	1 26839	15 770 00		15 770 00
2021/09/20	SEL	R TCH E BROTHERS AUCTION ONR	RBA	6 600	506 159 02	1 26839	531 146 02	132 00	1 26839	24 855 00		24 855 00
Total for Account					1,909,043.86		1,931,226.55	922.00		21,260.69		21,260.69



Realized Gain-Loss Report

FOR: EIM PARTNERS FUND ()

From September 1, 2021 to September 30, 2021

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Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Book Value	Book Value Avg. Exch. rate	Proceeds of Disposition	Comm. on Disposition	Disposition Exch. rate	Price G/L	Currency G/L	Gain/Loss (\$)
Total for Portfolio					2,642,957.08		2,802,906.85			108,417.06	60,435.09	158,852.15



Realized Gain-Loss Report

FOR: EIM PARTNERS FUND (██████)

From September 1, 2021 to September 30, 2021

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Created on October 8, 2021

Exponent Investment Management Disclosure

Important Information About Your Report: In the case of certain assets, the cost base / book value provided may not be suitable for income tax purposes, as it may not reflect all required adjustments. Please consult your income tax advisor if you require further information.

Realized Income Report

FOR: EIM PARTNERS FUND (██████████)

From September 1, 2021 to September 30, 2021

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Description	Amount
Account: Option-L (██████████)	
Interest	1,209.97
Interest on Cash Balance	1,209.97
Received	1,209.97
Paid	0.00
Dividends	19,669.80
on Stocks	19,669.80
AGNICO EAGLE MINES LTD	2,535.47
ALIMENT COUCHE-TARD-B SV	988.75
CANADIAN NATIONAL RAILWAY	4,009.80
ENBRIDGE INC	6,346.00
MAPLE LEAF FOODS INC	3,741.30
RITCHIE BROTHERS AUCTIONR	2,048.48
Total for Account	20,879.77

Account: Opt USD-M (██████████)	
Dividends	27,738.90
on Stocks	27,738.90
3M COMPANY	1,590.79
BORG WARNER INC	3,153.90
CORNING INC	3,060.89
HAWAIIAN ELECTRIC IND	2,191.61
INTEL CORPORATION	5,590.69
ISHARES 20+ YR TREAS BND	581.79
LOGITECH INTL SA CHF 0.25	4,481.31
SCOTTS MIRACLE-GRO CO	1,701.72
V F CORP	2,136.71
VIATRIS INC	3,249.49
Total for Account	27,738.90



Realized Income Report

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Description	Amount
Total for Portfolio	48,618.67



Realized Income Report

FOR: EIM PARTNERS FUND [REDACTED]

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