

How we safeguard your investment assets.

Credential Securities





Keeping your investment assets safe and secure.

Invest with confidence knowing that your assets are safeguarded by Avo Correspondent Partners, one of Canada's largest custody service providers. Our parent company Avo Wealth administers and manages approximately \$105 billion of assets for 300 independent financial organizations that serve over 500,000 clients across Canada.

Registered dealer

Credential Securities is a division of Credential Qtrade Securities Inc., a member of the Investment Industry Regulatory Organization of Canada (IIROC), which sets, monitors and enforces standards for the Canadian investment industry.

Trusted investor protection

Your Credential Securities account is protected by the Canadian Investor Protection Fund (CIPF).

Financial strength

Avo Wealth is owned by Desjardins and Canada's credit unions, the Credit Union Centrals and The Co-operators/CUMIS, with combined assets of approximately \$600 billion and 12 million members and clients Canada-wide.

Partner due diligence

We work only with Portfolio Manager firms that are registered with a Provincial securities commission.

Deep expertise

We bring 30 years of experience to our hundreds of partnerships with financial institutions across Canada.



A national investment dealer with extensive experience.

Credential Securities is a division of Credential Qtrade Securities Inc., which provides custody and clearing services to Portfolio Managers and independent financial institutions across Canada.

Credential Qtrade Securities Inc. is registered as an Investment Dealer with all Canadian Securities Commissions and is a member of the following organizations:

- Investment Industry Regulatory Organization of Canada (IIROC)
- Canadian Investor Protection Fund (CIPF)
- Toronto Stock Exchange
- TSX Venture Exchange
- Montréal Exchange
- Canadian Alternative Trading Systems
- Canadian Depository for Securities
- The Depository Trust Company
- Fundserv Inc.

How we work

We are responsible for the safekeeping of your assets, account maintenance, trade settlement, and reporting, including statements and tax reporting.

Your Portfolio Manager is responsible for monitoring and managing your investment portfolio and for trading according to your instructions. Your Portfolio Manager is your key contact and provider of information.

Where are your assets held?

Your account is held in your name with Credential Securities, a division of Credential Qtrade Securities Inc., which is a wholly owned subsidiary of Aviso Wealth Inc.

How can you deposit funds into your investment account?

You can deposit funds into your investment account by cheque, electronic funds transfer, or through the online bill payment facility at your financial institution.

How can you withdraw funds?

You can withdraw funds by submitting a request to your Portfolio Manager.

How can you monitor your assets?

You will receive monthly statements from Credential Securities when there is activity in your account. When there is no monthly activity, quarterly statements will be issued.

Secure online access to your account allows you to view holdings, activity, statements and tax documents at any time.

We are proud to be your Portfolio Manager's recommended custody services provider.

Aviso Correspondent Partners provides custody, trading, clearing, processing, and reporting services for portfolio managers and independent wealth management firms, through Aviso Wealth's dealer division, Credential Qtrade Securities Inc.

Through Aviso Correspondent Partners, your Portfolio Manager gains access to:

- A full range of investment products
- Account management and administration tools
- Leading industry investment research and guidance
- Highly efficient custodian and trading operations

A partnership with us means your Portfolio Manager can focus on what's important: your goals and managing your portfolio.

Financial strength

Aviso Wealth is a leading wealth management company with approximately \$105 billion in assets under administration and management, decades of experience in financial services, and partnerships with 300 independent financial organizations across Canada. Aviso Wealth is owned by Desjardins, the Credit Union Centrals and The Co-operators/CUMIS.

Learn more at [aviso.ca](https://www.aviso.ca)

Aviso Correspondent Partners operates as a separate business unit of Credential Qtrade Securities Inc. Credential Qtrade Securities Inc. is a wholly owned subsidiary of Aviso Wealth Inc. ("Aviso"). Aviso is a wholly owned subsidiary of Aviso Wealth LP, which in turn is owned 50% by Desjardins Financial Holding Inc. and 50% by a limited partnership owned by the five provincial Credit Union Centrals and The CUMIS Group Limited. Aviso is a registered mark owned by Aviso Wealth Inc.

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